

Electronic Acknowledgement Receipt

EFS ID:	5192546
Application Number:	10026359
International Application Number:	
Confirmation Number:	8067
Title of Invention:	Method and system for computer-implemented trading of secondary market debt securities
First Named Inventor/Applicant Name:	Brijesh Agarwal
Customer Number:	28089
Filer:	Irah Donner/Trennie Snyder
Filer Authorized By:	Irah Donner
Attorney Docket Number:	1497210.00123US1
Receipt Date:	21-APR-2009
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Time Stamp:	17:12:31
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$490
RAM confirmation Number	3311
Deposit Account	080219
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1		1497210-123US1_Amendment_042109.pdf	141324 fef103429e1f6d4b41417b3fea16f03004f05 2b2	yes	20
Multipart Description/PDF files in .zip description					
Document Description		Start		End	
Supplemental Response or Supplemental Amendment		1		1	
Claims		2		15	
Applicant Arguments/Remarks Made in an Amendment		16		20	

Warnings:
Information:

2	Extension of Time	1497210-123US1_Extension_Ti me042109.pdf	93059 8fffb32d0a3b69c460fc4248df711158ff696fe e1	no	1
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Warnings:
Information:

3	Fee Worksheet (PTO-06)	fee-info.pdf	30322 6834f5914cf0731847fbef82811ca14d6e05 255b	no	2
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Warnings:
Information:
Total Files Size (in bytes):

264705

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.